



**NCAA** Division III  
DISCOVER. DEVELOP. DEDICATE.

NCAA DIVISION III  
INTRODUCTION TO  
COMPLIANCE CONCEPTS  
(Part 2)

Maureen Harty | Joni Williamson

---

---

---

---

---

---

---

---

**Agenda**

- ▶ Transfers.
- ▶ Practice and Competition Expenses.
- ▶ Hardship Waivers.
- ▶ Outside Competition.
- ▶ Compliance Tasks.



**NCAA** Division III  
DISCOVER. DEVELOP. DEDICATE.

---

---

---


---

---

---

---

---



**NCAA** Division III  
DISCOVER. DEVELOP. DEDICATE.

Transfers

---

---

---

---

---

---

---

---

### General Undergraduate Transfer Rule

▶ A student-athlete (SA) who transfers to a member institution from any collegiate institution is required to complete an academic year in residence at the certifying institution before being eligible to compete or receive travel expenses, unless the student satisfies the applicable transfer requirements or receives an exception or waiver.

Bylaw 14.5.1



---

---

---

---

---

---

---

---

### Required Information

- Complete Enrollment History.
- All Transcripts.
- Complete Participation History.
- Tracer Form.



---

---

---

---

---

---

---

---

### Gathering Information

<b>Information Needed</b>	All previous attendance, full- and part-time enrollment.
	All previous participation, including activities while not enrolled.
	Any activities that may have lead to Disciplinary Suspension.



---

---

---

---

---

---

---

---

### Gathering Information to Determine the Type of Transfer

- Previous Institution(s)
- Student-Athlete Questionnaire
- Registrar/Admissions Office
- Coach to Coach
- Internet Search




---

---

---

---

---

---

---

---

---

---

### Transfers

- ▶ Create a Timeline.
- ▶ Identify type of transfer.
- ▶ Locate the correct legislation.
  - Four-year college transfers.
    - Bylaw 14.5.5.1.1.
  - Two-year and 4-2-4 transfers.
    - Bylaw 14.5.4.1.




---

---

---

---

---

---

---

---

---

---

### Four-Year College Transfers

A student who transfers to the certifying institution shall be immediately eligible if:

- ▶ The student has never practiced or competed in intercollegiate athletics.

Bylaw 14.5.5.1.1




---

---

---

---

---

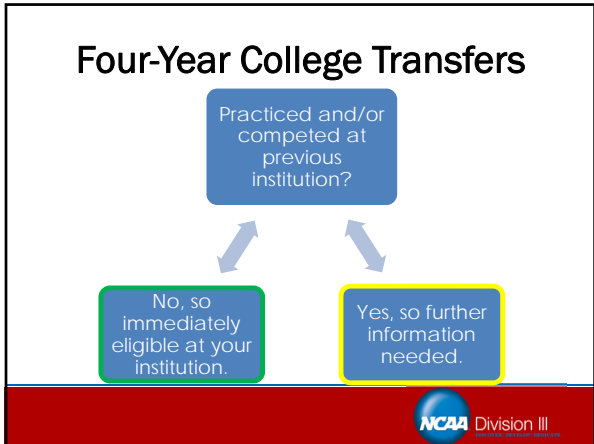
---

---

---

---

---




---

---

---

---

---

---

---

---

### Four-Year College Transfers

- ▶ Fallon Forward attended a four-year institution and was recruited for the volleyball team.
- ▶ In August she decides not to walk-on the team and never reports for practice.
- ▶ Will she be immediately eligible at your institution?

Bylaw 14.5.5.1.1

---

---

---

---

---

---

---

---

### Four-Year College Transfers

A student who transfers to the certifying institution shall be immediately eligible if:

- ▶ The student would have been academically and athletically eligible, at the time of transfer to the certifying institution, had he or she remained at the previous institution.

Bylaw 14.5.5.1.1

---

---

---

---

---

---

---

---




---

---

---

---

---

---

---

---

---

---

### Four-Year College Transfers

- ▶ Ray Receiver attended a four-year institution, practiced and competed.
- ▶ He left after the spring term but was not academically eligible.
- ▶ He attended summer school at another institution to make up the credits he was deficient.
- ▶ Is he immediately eligible at your institution?

Bylaw 14.5.5.1.1

NCAA Division III

---

---

---

---

---

---

---

---

---

---

### Four-Year College Transfers

Immediately eligible if:

- ▶ Attended a four-year collegiate institution that did not sponsor the student-athlete's sport; and
- ▶ While at that institution, the student-athlete:
  - Successfully completed 24-semester/36-quarter hours of transferable credit; and
  - Completed FT two semesters/ three quarters.

Bylaw 14.5.5.1.1

NCAA Division III

---

---

---

---

---

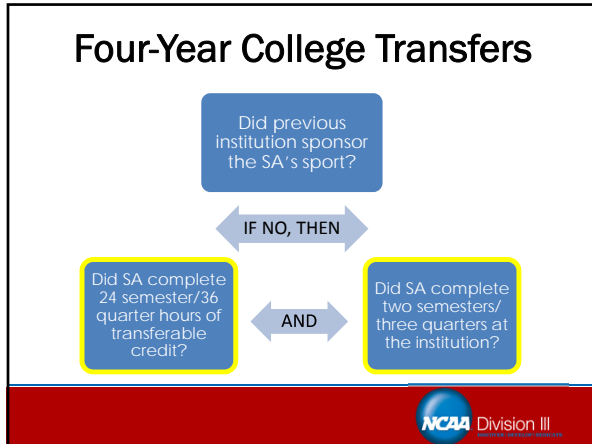
---

---

---

---

---




---

---

---

---

---

---

---

---

### Four-Year College Transfers

- ▶ Pat Pass attended a four-year institution, with no athletic program for two semesters.
- ▶ He left after the spring term on probation (thus ineligible) having only completed 20 hours.
- ▶ He attended another institution in summer to take the four credits he is deficient.
- ▶ Is he immediately eligible at your institution using this exception?

Bylaw 14.5.5.1.1

---

---

---

---

---

---

---

---

### Two-Year Nonparticipation Exception

- ▶ A student transfers from a two-year college that sponsors athletics;
- ▶ For a consecutive two-year period immediately before participation, has neither practiced nor competed in the sport in intercollegiate competition; and
- ▶ Has neither practiced nor competed in organized noncollegiate amateur competition while enrolled as a full-time student.

Bylaw 14.5.4.2

---

---

---

---

---

---

---

---

### Two-Year Transfers

A student who transfers to the certifying institution shall be immediately eligible if:

- ▶ The student has never practiced or competed in intercollegiate athletics.

Bylaw 14.5.4.1




---

---

---

---

---

---

---


---

### Two-Year Transfers

Practiced and/or competed at previous institution?

No, so immediately eligible at your institution.

Yes, then further information is needed.




---

---

---

---

---


---

---

---

### Two-Year Transfers

- ▶ Johnny Jumper attended a two-year institution and did not participate in practice or competition in any sport.
- ▶ He transfers to your institution.
- ▶ Will he be immediately eligible to compete?




---

---

---

---

---

---

---

---

### Two-Year Transfers

A student-athlete who transfers to the certifying institution shall be immediately eligible if:

- ▶ Has not been enrolled full time at a four-year collegiate institution.
- ▶ Would have been academically and athletically eligible had he or she remained at that institution.

Bylaw 14.5.4.1




---

---

---

---

---

---

---

---

### Two-Year Transfers

Attended a four year institution full-time?

No, certify as a two-year transfer.

Yes, certify as a 4-2-4 transfer.




---

---

---

---

---

---

---

---

### Two-Year Transfers

Did not attend a four year institution full-time and was athletically and academically eligible.

Yes, immediately eligible at your institution.

No, not immediately eligible at your institution.




---

---

---

---

---

---


---

---



### Two-Year Transfers

- ▶ Donna Diver attended a two-year institution and practiced and competed.
- ▶ She leaves academically and athletically eligible.
- ▶ She transfers to your institution.
- ▶ Is she immediately eligible to compete?




---

---

---

---

---

---


---

---

### 4-2-4 Transfers

- ▶ Immediately eligible provided the student either :
  - Have been athletically and academically eligible, had he or she remained at the previous four-year institution; or
  - Completed 24-semester/36-quarter hours of transferable-degree credit at the two-year college; and
  - Attended two FT semesters/three FT quarters at the two-year college.

Bylaw 14.5.4.1




---

---

---

---

---

---

---

---

### 4-2-4 Transfers

Would the 4-2-4 transfer be athletically and academically eligible at the previous 4-year institution?

Yes, immediately eligible at your institution.

No, not immediately eligible at your institution.




---

---

---

---

---


---

---

---

### 4-2-4 Transfers

- ▶ Polly Pitcher attended a Division II four-year institution, and practiced with the softball team but never competed.
- ▶ She was academically and athletically eligible when she left.
- ▶ She transfers to a two-year institution and competes.
- ▶ She transfers to your institution.
- ▶ Is she immediately eligible to compete?




---

---

---

---

---

---

---

---

### 4-2-4 Transfers


If the 4-2-4 transfer would not have been athletically and academically eligible at the previous 4-year institution...

← THEN →

Did SA complete 24 semester/36 quarter hours of transferable credit?

← AND →

Did SA complete two semesters/three quarters at the institution?




---

---

---

---

---


---

---

---

### 4-2-4 Transfers

- ▶ Greg Goalie attended a Division I four-year institution and competed.
- ▶ He was academically ineligible.
- ▶ He transfers to a two-year institution and completes 24 hours in one semester.
- ▶ He transfers to your institution.
- ▶ Is he immediately eligible to compete?




---

---

---

---

---

---

---

---

### Multiple Two-year Colleges

- ▶ 4-2-4 transfer student-athlete.
- ▶ Attended multiple two-year colleges.
- ▶ Can use credits earned at the two-year colleges to satisfy credit-hour requirements.
- ▶ May combine terms of full-time attendance at any of the two-year colleges to satisfy the two-semester/three-quarter attendance requirement.

Bylaw 14.5.4.1.1




---

---

---

---

---

---

---

---



Practice and Competition Expenses

---

---

---

---

---

---

---

---

### Practice Expenses

#### Practice

- ▶ Practice sessions associated with away-from-home contests.
- ▶ In state or if outside the state, not more than 100 miles from campus.
- ▶ Transportation to on-or-off campus practice site.

Bylaw 16.8.1




---

---

---

---

---

---

---

---

### Competition Expenses

- ▶ Actual and necessary expenses.
- ▶ Eligible for competition.
- ▶ Transportation between terms.
- ▶ Other competition.

Bylaw 16.8.1




---

---

---

---

---

---

---

---



### Hardship Waivers

---

---

---

---

---

---

---

---

### Hardship Waivers – Bylaw 14.2.5

- ▶ Under certain circumstances, a student-athlete can get an additional season of participation based upon medical reasons, if a season-ending injury or illness prevents further participation during the season.
- ▶ Requests are reviewed by the institution's conference (or NCAA national office for independents).




---

---

---

---

---

---

---

---

### Hardship Waivers – Bylaw 14.2.5

- ▶ Injury must be season-ending.
- ▶ Injury must occur during the first half of the season.
- ▶ Student-athlete may not have competed in more than 1/3 of competitions prior to injury.




---

---

---

---

---

---

---

---

---

---

Figure 14-1: Hardship Waiver Chart

FIGURE 14-1 Hardship Waiver Calculations – Standard Denominators Based on Bylaw 17 Maximums			
Sport	Standard Denominator	First Half of Playing Season	One-third of Contests or Dates of Competition
Baseball	41	Before the start of the 21st contest	14
Men's Basketball	26	Before the completion of the 18th contest	9
Women's Basketball	26	Before the completion of the 18th contest	9
Women's Bowling	33	Before the start of the 17th date of competition	11
Men's Cross Country	134	Before the start of the sixth date of competition	4
Women's Cross Country	134	Before the start of the sixth date of competition	4
Men's Fencing	12	Before the completion of the sixth date of competition	4
Women's Fencing	12	Before the completion of the sixth date of competition	4
Field Hockey	21	Before the start of the 11th contest	7
Football	11	Before the start of the sixth contest	4
Men's Golf	21	Before the start of the 11th date of competition	7
Women's Golf	21	Before the start of the 11th date of competition	7
Men's Gymnastics	14	Before the completion of the seventh date of competition	5
Women's Gymnastics	14	Before the completion of the seventh date of competition	5




---

---

---

---

---

---

---

---

---

---

### Hardship Waivers

- ▶ Maximum number of contests permitted is used for when determining number of contests.
- ▶ Only competition counts.
- ▶ Only include in-season scrimmages/exhibitions in calculation.
- ▶ Joint practices count in calculation.




---

---

---

---

---

---

---

---

---

---

### Hardship Waivers – Best Practices

- ▶ Evaluation of Injury.
  - See physician as close to date of injury as possible, especially given first-half requirement.
- ▶ Documentation.
  - Contemporaneous documentation from physician visits; surgical reports.
  - Physical therapy notes.
  - Rehabilitation notes.
  - Non-contemporaneous statement from treating physician (not sufficient in and of itself).
  - Practice logs.




---

---

---


---

---

---

---

---



Outside Competition

---

---

---

---

---

---

---

---

### Outside Competition – Bylaws 14.7.1 and 14.7.2

General Rule: A student-athlete becomes ineligible when he or she competes as a member of any outside team during the institution’s declared playing season.

- ▶ Only competition is prohibited, not practice.




---

---

---

---

---

---

---

---

### Outside Competition – Bylaws 14.7.1 and 14.7.2

- ▶ A person is considered a student-athlete if he or she:
  - Reports for practice for that year.
  - Practices with the institution’s team, regardless of whether eligible to compete.
- ▶ Once a person is considered a student-athlete, remains so for the entire season.
  - Exception: If a student engages in limited preseason tryouts but doesn’t make the team, that person is not considered a student-athlete.




---

---

---

---

---

---

---

---

---

---

### Outside Competition - Bylaw 14.7.3

- ▶ If an institution has separate fall and spring practice/playing segments, a student-athlete can practice and compete in outside competition between segments.
- ▶ Student-athletes in individual sports can compete during the academic year if:
  - The student-athlete competes unattached and does not represent the institution.
  - The student-athlete does not compete as a member of, or receive expenses from, an outside team.




---

---

---

---

---

---

---

---

---

---

### Outside Competition - Bylaw 14.7.3

- ▶ Exceptions for All Sports.
- ▶ High school alumni game – one game per year, during an official vacation period.
- ▶ “Official national representation events”.
  - Olympics, Pan-Am Games, National Teams, World Championships.




---

---

---

---

---

---

---

---

---

---



**Compliance Tasks**

---

---

---

---

---


---

---

---

**December**  
**Compliance Tasks**

- Begin certification checks for mid-year enrollees.
- Continuing eligibility checks.
- Monitoring playing and practice season activities.
- Roster changes, walk-ons and walk-offs.
- Vacation Period Reminders.



---

---

---

---

---


---

---

---

**January**  
**Compliance Tasks**

- Confirm rosters.
- Confirm full-time enrollment for SAs.
- Certify and complete compliance paperwork with midyear enrollees.



---

---

---

---

---

---

---

---




**January**  
**Compliance Tasks**

Review playing and practice declarations and changes in "weeks".

Begin camp education with staff.

Rules education.



---

---

---

---

---

---

---


---

**February**  
**Compliance Tasks**

Advise SAs to ensure meeting institutional academic requirements within major.

Finalize all eligibility certifications for spring sport SAs.

Review travel expenses and benefits with winter sports that qualify for postseason.



---

---

---

---

---

---

---


---

**March**  
**Compliance Tasks**

Review travel expenses and benefits with winter sports that qualify for postseason.

Review travel expenses and benefits with teams traveling for spring break.

Continue camp education.



---

---

---

---

---

---

---

---

Questions?



---

---

---

---

---

---

---

---